

DO EXTRAGALACTIC COSMIC RAYS INDUCE CYCLES IN FOSSIL DIVERSITY?

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Received 2006 November 27; accepted 2007 April 6

ABSTRACT

Recent work has revealed a 62 ± 3 Myr cycle in fossil diversity in the past 542 Myr; however, no plausible mechanism has been found. We propose that the cycle may be caused by modulation of cosmic-ray (CR) flux by the solar system vertical oscillation (64 Myr period) in the Galaxy, the Galactic north-south anisotropy of CR production in the Galactic halo/wind/termination shock (due to the Galactic motion toward the Virgo Cluster), and the shielding by Galactic magnetic fields. We revisit the mechanism of CR propagation and show that CR flux can vary by a factor of about 4.6 and reach a maximum at northernmost displacement of the Sun. The very high statistical significance of (1) the phase agreement between solar northward excursions and the diversity minima and (2) the correlation of the magnitude of diversity drops with CR amplitudes through all cycles provide solid support for our model. Various observational predictions which can be used to confirm or falsify our hypothesis are presented.

Subject headings: acceleration of particles — astrobology — diffusion — Galaxy: general — magnetic fields — shock waves

Online material: color figures

1. INTRODUCTION

Rohde & Muller (2005, hereafter RM05) performed Fourier analysis of detrended data from Sepkoski's compendium (Sepkoski 2002) and found a very strong peak at a period of about 62 Myr. Monte Carlo simulations based on random walk models with permuted steps reveal a 99% probability that any such major spectral peak would not arise by chance, thus putting the diversity cyclicity on a firm statistical basis. The signal found by RM05 is robust against changes in procedure to improve aspects of uneven sampling and alternate methods of assessing significance (Cornette 2007; B. A. Lieberman & A. L. Melott 2007, in preparation). More recently, Ding et al. (2006) have identified a 61 Myr periodicity of lower significance in animal transmembrane gene duplication events, offset in phase about 2 rad from biodiversity; the peak in gene duplication events corresponds with the onset of the declining phase of biodiversity. In addition, Rohde (2007) has noted the same sort of periodicity in $^{87}\text{Sr}/^{86}\text{Sr}$ isotopic ratios, which is generally taken as a proxy for continental weathering rates. Cornette & Lieberman (2004) suggested a lack of significant long-term memory in most of the fossil record, but they did not detrend or examine lags beyond about 25 Myr. RM05 also argued that the five great mass extinctions (Raup & Sepkoski 1982) may be an aspect of this cycle. It is very interesting that the 62 Myr timescale is close to the current best value (63.6 Myr) for the period of the oscillation of the Sun in z , the distance perpendicular to the Galactic disk (Gies & Helsel 2005). The Sun is currently about 10 pc north of the plane, moving away, in an oscillation with amplitude of about 70 pc. Finding a plausible mechanism for the fossil diversity cycle tied to this vertical oscillation has been problematic. The primary reason is that midplane crossing (a possible time of enhanced interactions with Galactic matter) would occur approximately every 32 Myr, which is not a spectral feature RM05 noted as significant in the diversity data. The same 32 Myr periodicity occurs if biological effects are strongest farthest from the Galactic plane. A recently noted correlation between genus-level diversity and the amount of marine sedimentary rock outcropping has been taken as evidence that sampling bias may have led to the signal discussed here (Smith & McGowan 2005).

However, the extent and the lag between the timings of genus diversity and rock outcrop curves and other factors suggest a common cause for these processes (Peters 2005, 2006). Even if measured fossil biodiversity fluctuations are a consequence of the claimed periodic sampling bias, then a strong 62 Myr periodicity in rock outcropping would equally demand explanation. In general, the idea of astronomical forcings has been given a recent boost by a strong result showing rodent biodiversity fluctuations coupled to Milankovic cycles (van Dam et al. 2006), with a similar periodicity noted for many clades elsewhere (Martin & Meehan 2005). In what follows, we propose a possible explanation for this periodicity: enhanced cosmic rays when the solar system moves to the north side of the Galactic plane.

2. BIODIVERSITY AND COSMIC RAYS

Cosmic rays (CRs) may have many different strong biological and climatic effects. We do not advocate any *particular* mechanism for CR influence on the Earth biosphere. There are many such mechanisms which can impact fossil diversity, affecting species extinction and origination.

The first is direct radiation: CRs produce avalanches of secondary energetic particles (Ferrari & Szuszkiewicz 2006) which are dangerous or lethal to some organisms. If the energy of the primary is below 10^{14} eV, only energetic muons can reach the Earth's surface (some of the muons decay into electrons and positrons). Primaries with higher energies are able to produce air showers that reach the sea level and deliver energetic nucleons as well. (However, isotopes created by spallation typically have lifetimes of order 1 Myr or less, so that long-term oscillations in flux would be very difficult to detect.) Overall, secondary muons are responsible for about 85% of the total equivalent dose delivered by CRs. CR products account for 30%–40% of the annual dose from natural radiation in the US (Alpen 1998). There is almost no protection from muons because of their very high penetrating depth, ~ 2.5 km in water or ~ 900 m in rock. Muons are known to produce mutations (Micke et al. 1964). CRs are therefore a source of DNA damage, causing mutations, cancer, etc., even for deep-sea and deep-earth organisms (Karam et al. 2001).

It is beyond the scope of this paper to compute the absolute increase in the mutation rate. There are many causes of mutations. Generally, chemical mutagens induce point mutations, whereas ionizing radiation gives rise to large chromosomal abnormalities. Point mutations usually affect the operation of a single gene, but large-scale changes in chromosome structure can affect the functioning of numerous genes, resulting in major phenotypic consequences (Lodish et al. 2000).

The second mechanism is climate change: There is good evidence that the ions produced by CRs in the atmosphere increase clouds (Carslaw et al. 2002; Marsh & Svensmark 2000; Svensmark et al. 2005; Harrison & Stephenson 2006; Shaviv 2005), which will increase planetary albedo. It has been argued that this can cool the climate. The global climate response should include changes in temperature and/or precipitation, but the amplitude is highly uncertain.

The effect of temperature on biodiversity is empirically determined. The tropics have higher biodiversity, and recovery from mass extinctions is usually taken by repopulation from the tropics (Jablonski et al. 2006). Furthermore, time series analysis of green algae, a particularly well-documented clade, shows correlation of biodiversity with global temperature over the last 350 Myr (Aguirre & Riding 2005). Cool climates are usually drier, since evaporation from the ocean is reduced, and drought typically reduces biodiversity as well. So the sign of the probable effect of increased cloud formation by CRs is in agreement with the sign of biodiversity fluctuations. This is an area of active research; for example, a new experiment start-up at CERN (CLOUD) is designed to study the microphysics of cloud seeding by cosmic rays, and much more should be known in a few years.

The third mechanism is the mutagenic effect of oxides of nitrogen, NO_x (e.g., Cooney et al. 1993). CR ionization triggers lightning discharges (Gurevich & Zybin 2005) which in turn affect the atmospheric chemistry (e.g., ozone production by lightning and destruction by lightning-produced NO_x). CRs also increase the production of NO and NO_2 by direct ionization of molecules. Modifications in atmospheric chemistry by ionizing radiation and the precipitation of NO_x as nitric acid can be numerically modeled (Melott et al. 2005; Thomas et al. 2007). We plan to model this in detail based on the CR spectrum expected in our hypothesis.

The fourth mechanism is the mutagenic and damaging effect of solar UVB when the aforementioned NO_x damage the ozone shield. Both the indirect and direct production of these compounds catalyzes the depletion of ozone, making the atmosphere much more transparent to UVB (290–320 nm), which can cause mutations and cancer, and kill the phytoplankton which are at the base of most of the marine food chain (Melott et al. 2005). Terrestrial effects of variable CR flux have been discussed in the context of supernova explosions (Erlykin & Wolfendale 2001; Gehrels et al. 2003) and the Sun's motion in the local interstellar medium (Zank & Frisch 1999). These models produce random variations on timescales of hundreds of thousands of years, so they cannot explain a much longer periodic signal. The idea of CR-diversity connection lies in line with the newly developed approach to mass extinctions as being produced by a combined effect of impulsive events and long-term stress called a “press” (Arens & West 2006). Modulated flux of CRs provides just such a long-term and periodic press.

One interesting possibility is the existence of multiple steady states when there exists a stronger continuous source of NO_x than exists in the present terrestrial atmosphere. There is a second steady state with an NO_x density several orders of magnitude higher than exists at present, which would have catastrophic

effects not yet seen in simulations of astrophysical ionizations to date (Kasting & Ackerman 1985). It will require substantial atmospheric ionization in the troposphere, probably coupled with an additional impulsive event to push the atmosphere toward the second attractor.

To summarize, a strong increase in CR flux may affect biodiversity by (1) direct radiation effects (mostly by muons) on the ground and in the seas down to perhaps 1 km, by (2) substantial climate change induced by cloud seeding of ionization, by (3) the chemical effects of atmospheric NO_x and its rainout as nitric acid, and by (4) increased solar UVB resulting from ozone depletion, a known effect of ionizing radiation on the atmosphere. It will require detailed research to quantify each of these effects.

3. GALACTIC SHOCK MODEL

Low-energy CRs with $10^{10} \text{ eV} \lesssim E \lesssim 10^{15} \text{ eV}$ (below the “knee”) are thought to be produced by Galactic sources, such as supernova explosions, supernova remnant shocks, and pulsars (Erlykin & Wolfendale 2001, 2005), and are hence referred to as “Galactic CRs,” whereas higher energy CR flux is dominated by particles accelerated in the Galactic halo (Fox et al. 2004) by the shocks in the Galactic wind (Williams et al. 2006a; Zirakashvili et al. 1996; Völk & Zirakashvili 2004) and at the termination shock (Erlykin & Wolfendale 2005). (The boundary of $E \sim 10^{15} \text{ eV}$ is imprecise: the Galactic component likely extends to $\sim 10^{16} \text{ eV}$ or even higher.) The Galactic termination shock occurs when the fast, supersonic Galactic wind interacts with the ambient intergalactic medium, much like the solar wind termination shock on the outskirts of our solar system (Zank 1999). The position of the shock, which strongly depends on the properties of the “warm-hot intergalactic medium” (WHIM; Viel et al. 2005; Kravtsov et al. 2002; Williams et al. 2006b) and the wind speed, has been estimated (Erlykin & Wolfendale 2005) to be $R \sim 100\text{--}200 \text{ kpc}$ for the wind speed $V \sim 300\text{--}500 \text{ km s}^{-1}$. For these parameters with the Bohm diffusion coefficient, the extragalactic CR (EGCR) flux (Jokipii & Morfill 1987) with $E < E_c \sim 10^{15} \text{ eV}$ was expected to be attenuated by strong outward advection (Völk & Zirakashvili 2004). However, the first measurement (Keeney et al. 2005) of the wind speed yielded a much smaller value, $\sim 100 \text{ km s}^{-1}$ (less than the escape velocity from the Galaxy, hence the outflow is called the “Galactic fountain”). This puts the shock a factor of 10 closer, hence decreasing the advection cutoff energy, E_c , by a factor of 30. Moreover, using a more realistic dependence of the diffusion coefficient on particle's energy, $D \propto E^s$ with $s \simeq 0.3\text{--}0.6$ (for Bohm diffusion, $s = 1$), yields the overall decrease of E_c by a factor of $10^3\text{--}10^5$. Thus, the Galactic termination shock should be a natural source of EGCRs with energies as low as $\sim 10^{12} \text{ eV}$, i.e., those which produce muon showers in the Earth atmosphere. This extragalactic component is, likely, subdominant at the present location of the Sun because of efficient shielding by Galactic magnetic fields, but it can be strong at large distances from the Galactic plane, as we show below. CRs with energies around 10^{12} eV are the most dangerous to the Earth biota because they and their secondaries have the largest flux in the lower atmosphere: lower energy ones are attenuated by the Earth's magnetosphere, whereas the flux of the higher energy particles rapidly decreases with energy.

The global geometry of the “galactosphere” (by analogy with the heliosphere) is illustrated in Figure 1. The geometry of the termination and bow shocks causes the anisotropy of EGCRs around the Milky Way. In turn, the interaction of the gaseous envelope of the Galaxy with the WHIM determines the shock geometry. The WHIM was formed by shock heating in the early stages of cosmological structure formation and should pervade

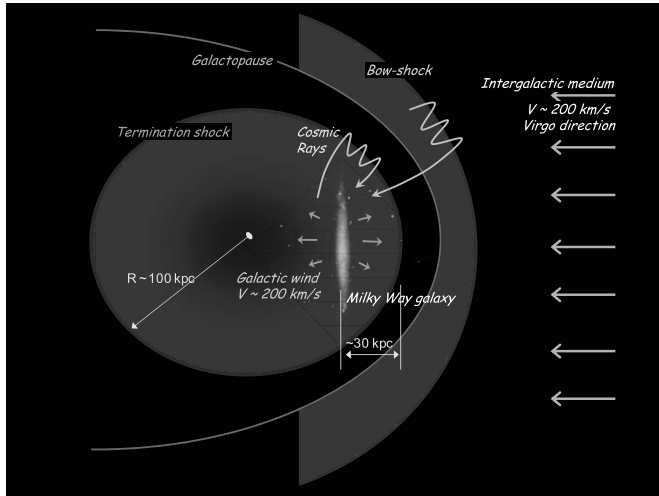


FIG. 1.—Cartoon representing the “galactosphere,” with the Galactic termination and bow shocks being sources of extragalactic cosmic rays. Due to inherent asymmetry, the north side of the Milky Way (with Virgo Cluster being nearly at the north Galactic pole) is exposed to a larger cosmic-ray flux than its south side. [See the electronic edition of the Journal for a color version of this figure.]

the connected large-scale structure predicted to form (Melott et al. 1983) in the cold dark matter scenario. Our Galaxy moves at the speed of $\sim 150\text{--}200\text{ km s}^{-1}$ toward the Virgo Cluster (Williams et al. 2006b; Benjamin & Danly 1997), which is close to the Galactic north pole.

The local WHIM is substantially pressure supported and thus has smaller infall velocity. Motion of the Galaxy through the WHIM, at even moderate relative velocity, pushes the termination shock close to the north Galactic face. Since the center of mass of the Local Group is at low Galactic latitude, our Galaxy should not be significantly shielded from interaction with the WHIM pervading the Local Supercluster. The more moderate motion of the solar system through the local interstellar medium, $\sim 23\text{ km s}^{-1}$ (cf. the solar wind speed, $\sim 700\text{ km s}^{-1}$), produces strong asymmetry, with the shock distance in the “nose” and “tail” directions differing by more than a factor of 2 (Zank 1999; Florinski et al. 2003). Therefore, the EGCR flux incident on the northern Galactic hemisphere must be substantially larger than on the southern hemisphere. The predicted strong anisotropy CRs with $E \sim 10^{12}\text{--}10^{15}\text{ eV}$ are outside the Galaxy. At the Sun’s present location—near the Galactic plane—the magnetic shielding is very strong (as is discussed below); therefore, the observed anisotropy should be very small and, likely, dominated by the (nearby) Galactic sources. For higher energy particles of energies about 10^{15} eV and above, our model agrees with previous studies, which predict that the CRs are not effectively trapped in the Galactic wind; therefore, the anisotropy is intrinsically small.

In order to cause substantial periodic variation in the fossil record, the CR flux should have strong variation as well. We now demonstrate that the shielding effect provided by the Galactic magnetic fields against EGCRs produces the required variation.

CRs with energies below the knee will propagate diffusively through the Galaxy, e.g., in the vertical direction, from the north Galactic face to the south, which results in (partial) shielding. A naive application of the standard diffusion approximation yields linear variation of the CR density as a function of z . Then the maximum variation of the EGCR flux on the Earth would be $\sim \Delta/H \sim 5\%$, too small to have strong impact on the climate and biosphere (where $\Delta \simeq 70\text{ pc}$ is the amplitude of the Sun vertical oscillation and $H \sim 1.5\text{ kpc}$ is the exponential scale height of the Galactic

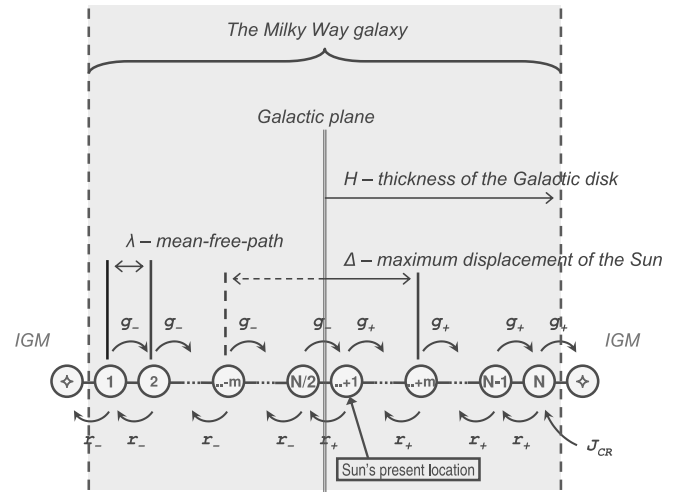


FIG. 2.—Galactic Markov chain. The cartoon represents the Markov chain model used to calculate cosmic-ray diffusion through the Milky Way. The chain consists of N normal sites and two absorbing (asterisk) sites, which model particle escape. The transition probabilities are r and g ; their subscripts denote position: above (plus) and below (minus) the Galactic plane. The influx of CRs is J_{CR} . The Sun moves through sites between $N/2 - m$ and $N/2 + m$ and is currently located near the $N/2 + 1$ site. [See the electronic edition of the Journal for a color version of this figure.]

disk region dominated by magnetic fields; Beck 2001). This picture misses the fact that the magnetic field fluctuations in the Galaxy are of high amplitude (Beck 2001), with $\delta B/\langle B \rangle \sim$ a few, and are likely Alfvénic in nature. Therefore, the effects of particle trapping and mirroring (Narayan & Medvedev 2001; Malyshkin & Kulsrud 2001) are important.

We know of no discussion of the effects of transient trapping and repeated mirroring in the presence of a mean field gradient (as in a galaxy) combined with random walk resulting in *asymmetric* diffusion, in which the probabilities of particle motion in forward and backward directions are unequal. This should not be confused with the standard diffusion, in which the probabilities are equal, although the diffusion coefficient can be inhomogeneous and anisotropic, in general. The magnitude of the asymmetry is estimated in Appendix A. The number density of CRs in the Galaxy is found using the one-dimensional Markov chain model shown in Figure 2. The Galaxy is represented by N sites, separated by one mean free path distance, thus $N \sim H/\lambda$. The two asterisk states at both ends are “absorbers” representing escape of CRs from the Galaxy. The Galactic plane is located halfway between sites $N/2$ and $N/2 + 1$. The Sun moves through sites between $N/2 - m$ and $N/2 + m$, where $m \sim \Delta/\lambda$. At present, the Sun is at $z \simeq 8\text{ pc}$, which is around site $N/2 + 1$. The forward and backward transition probabilities are r and g ; their subscripts denote position: above (plus) or below (minus) the plane. There is an influx of CRs, J_{CR} (produced at the termination shock in the northern hemisphere), through the right end. The observed CR flux decreases with energy roughly as $\propto E^{-3.1}$ above the knee and as $\propto E^{-2.7}$ at lower energies. We make a conjecture that the “true” extragalactic flux has no break at $E \sim 3 \times 10^{15}\text{ eV}$, whereas the observed break (the knee) is due to magnetic shielding as discussed above. Thus, we conjecture that the EGCR flux outside the Galaxy exhibits no breaks up to 10^{12} eV , which could be a local maximum and which could smoothly join the lower energy Galactic component. Thus, the EGCR flux of the most dangerous particles of $E \sim 10^{12}\text{ eV}$ is about 2 orders of magnitude higher than the observed flux at this energy (although it is still too small to affect the Galactic structure; see more discussion in

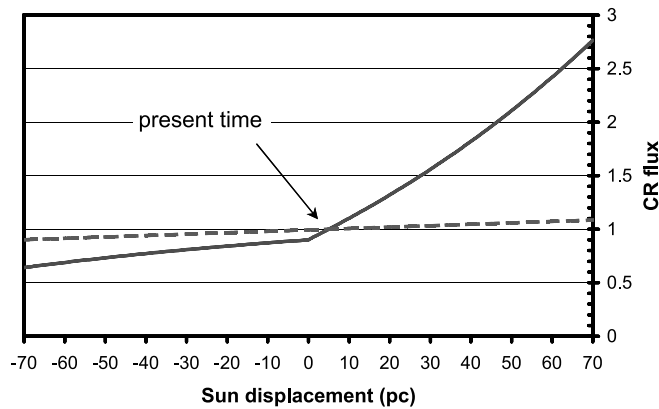


FIG. 3.—Extragalactic cosmic-ray (EGCR) flux at the Earth relative to the present-day value. The predicted EGCR flux (normalized to the present-day value) in the Milky Way is shown as a function of the distance from the Galactic plane (in parsecs) for the asymmetric diffusion model (*solid line*). The standard diffusion model, predicting a 5% increase, is shown for comparison (*dashed line*). Clearly, a factor of 5 variation in the EGCR flux at the Earth is possible between the southernmost and northernmost excursions of the Sun from the Galactic plane. Here the flux variation, i.e., $F(70)/F(-70)$, is about 4.3. Since the Sun's amplitude is a bit larger and varies, the overall maximum over minimum CR flux modulation amounts to about 4.6. [See the electronic edition of the *Journal* for a color version of this figure.]

Appendix A). We use this value for the parameter J_{CR} of our model. An analytical solution for the CR density is plotted in Figure 3. An exponential increase of the local EGCR density with z is seen. For contrast, we also plot the result of the standard diffusion model. Thus, very strong exponential shielding from EGCRs is found. Our estimates of the CR flux are somewhat conservative in a number of places, so the actual flux may be a factor of few higher, and should depend on particle energy, the properties of the Galactic magnetic fields, and the turbulence spectrum. Further details of CR propagation are included in Appendix A.

It should be understood that this solution contains only the CR variation due to solar motion normal to the Galactic plane. There is an (unknown) additive component of CRs due to Galactic sources such as supernovae. For example, there has apparently been a CR enhancement the last few Myr (Lavielle et al. 1999) that is probably due to recent nearby supernovae, for which there is evidence for at least one about 2.8 Myr ago (Knie et al. 2004) possibly associated with the formation of the Local Bubble in the interstellar medium (Maíz-Apellániz 2001; Fuchs et al. 2006). The long-term variation we propose will be superimposed on these shorter term variations.

4. RESULTS

Figure 4 shows the detrended fossil genera fluctuation from RM05 and the computed EGCR flux from our model versus time for the last 542 Myr. The fossil data are timed to within the uncertainties of geological dating methods. Here we used the best available model data for the solar position z versus time (Gies & Helsel 2005), kindly provided to us by D. Gies. These calculations assume azimuthal symmetry of the Milky Way. The oscillation period and amplitude vary in response to the radial motion of the Sun (included in the calculation), a higher density toward the Galactic center (also included in the calculation), and a scatter from spiral arm passage (not included); see more discussion in Appendix C. Note that the long-term modulation of CR maxima in Figure 4 is real, being due to the Sun's radial motion. Hence, one should also expect a weaker long-term cycle with a

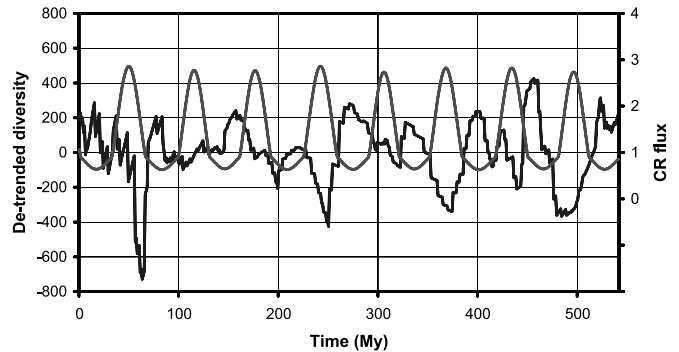


FIG. 4.—Diversity variation (from RM05) and extragalactic cosmic-ray flux at the Earth calculated from our model, showing the detrended diversity variation (*black curve, left scale*) as a function of time overplotted with the normalized cosmic-ray flux calculated from our model (*gray curve, right scale*). There are no fit (and free) parameters in the model. The maxima in the cosmic-ray flux coincide with minima of the diversity cycle. Note also that the onset times of diversity decline coincide with moments of rapid increase of the flux. [See the electronic edition of the *Journal* for a color version of this figure.]

period ~ 170 Myr in the fossil record. The average period, accurate to about 7% (Gies & Helsel 2005), of ~ 63.6 Myr coincides precisely within uncertainty with the 62 ± 3 Myr period of the fossil diversity cycle (RM05). RM05 noted that the 62 Myr signal in the fossil record emerges from integration over almost nine periods, and while highly significant does not coincide exactly with the onset of major extinction events, dated to within uncertainties in geological dating methods. These may be caused by a combination of stresses including, for example, CR flux variation, bolide impacts, volcanism, methane release, anoxia in the oceans, or ionizing radiation bursts from other sources. (It is an interesting aspect of this that the onset of the K-T [“dinosaur”] extinction, generally thought to be due to a bolide impact, coincides within 2 Myr of midplane crossing [Gies & Helsel 2005].) Nevertheless, the 62 Myr cycle is strong and robust against alternate methods of Fourier decomposition and alternate approaches to computing its statistical significance (B. A. Lieberman & A. L. Melott 2007, in preparation).

A number of statistical tests have been performed in order to address the significance of the correlation between fossil data and modeled cosmic-ray flux. In Appendices C and D, we discuss cross-correlation analyses involving the detrended data, the raw data for the short-lived genera (both samples are from RM05), and Fourier-filtered samples. All tests show high statistical significance of the CRs versus diversity correlation. Namely, the detrended sample used by RM05 correlates with the CR flux from our model at the level of 49% (the Pearson coefficient is $r = -0.49$). The diversity data contain 167 discrete time periods; however, only about 59% of the fossil data used is resolved to the bin size. For a conservative assessment of statistical significance, we take the effective number of bins as $\sim 167 \times 0.59 \approx 100$. The result is very high statistical significance that the observed correlation is not a consequence of coincidence (p -value of 1.9×10^{-7}). Consequently we conclude that the CR flux variation model may explain about one-half of the long-term fluctuations in detrended biodiversity.

5. DISCUSSION

The 62 Myr CR fluctuations are of course not the only source of diversity changes, but they explain the long-period cycles quite well. When the short-term waves are filtered out (the short-term component is largely dominated by the effect of the finite bin size of a few Myr), the cross-correlation amplitude rises to $\sim 57\%$

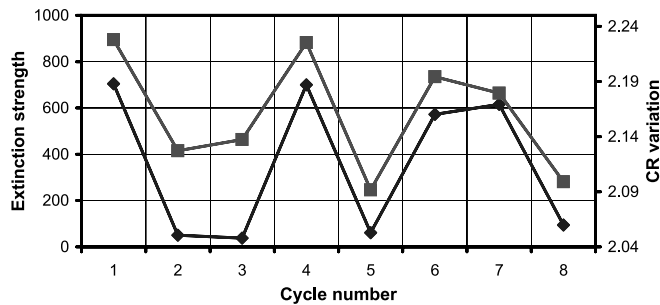


FIG. 5.—Correlation of the extinction strength and the amplitude of the extragalactic cosmic-ray flux. The extinction strength (*black curve, left scale*), calculated in each cyclic “event” in Fig. 4 as the diversity drop from the preceding local maximum to the local minimum, and the amplitude of the EGCR intensity variation from our model (*gray curve, right scale*) are plotted vs. the cycle number (numbering is backward from the present). The correlation is about 93% and has a probability less than one part in a thousand of arising from chance. [See the electronic edition of the *Journal* for a color version of this figure.]

at even higher significance level (the probability of chance coincidence— p -value—is 6×10^{-10}); see Appendix E for details.

Our model is predictive. An unavoidable consequence of the model is that the varying amplitude of solar excursions from the Galactic plane modulates the CR flux and, consequently, affects the magnitude of the diversity drop. As we discuss above, variation in CRs is real in Figure 4. Thus, we look for possible correlation between the amplitude of the CR flux in each “cycle” and the amount of diversity drop (which we call “extinction strength”) in the corresponding diversity drop “event.” We applied an algorithm which finds for each CR cycle the local diversity minimum nearest to the CR maximum and the nearest preceding diversity maximum. The extinction strength is calculated as the difference between these maxima and minima. The CR amplitude is calculated analogously. Details are given in Appendix F.

The first result is that for each CR maximum there is *always* a diversity minimum within few Myr (the largest “mismatch” of 9 Myr is for the K-T extinction, which is likely due to a bolide impact). This is a strong result, given that some fossil bin sizes are as large as 5–6 Myr and, moreover, that only 59% of genera are resolved to this level. Second, Figure 5 shows an impressively strong correlation of the peak CR flux for each cycle in Figure 4 and the corresponding diversity drop. (Some uncertainty in the Galactic structure data [magnetic fields, turbulence, and halo structure] can affect the overall amplitude [normalization] of CR maxima, but not their rank order strengths.) A similar procedure was also applied to the raw data for short-lived genera to avoid biases in deep time due to the cubic fit. The results are shown in the appendices. The linear correlation coefficient of the CR flux amplitude and the extinction strengths shown in Figure 5 is over 93% and is significant, at the level of 99.93% (p -value of 6.8×10^{-4} , computed from the Student distribution). This provides a very solid and independent confirmation of the model, which provides a natural mechanism for observed cycles in fossil diversity.

It should be noted that the cosmic-ray enhancement axis shown in Figure 5 is a consequence of our assumed normalization of the EGCR flux. While our assumed flux is physically reasonable, other values are possible. Changing this assumption would stretch the CR axis but would not change the rank order of the values nor modify their agreement with the diversity drop. Such a change would somewhat affect the shape of the CR curves in Figure 3, making a small change in the amplitude of the cross-correlation. However, the agreement in period, phase, and rank order diver-

sity drops, the major pieces of evidence supporting our model, are not affected.

We emphasize that our hypothesis attempts to explain the very long term variation of the diversity and relate it to the long-term variation of the CR flux. We by no means attempt to explain every possible variation of the cosmic-ray flux, which is known to be affected by Galactic sources. For instance, a spiral arm crossing or a nearby supernova can substantially (depending on the distance) increase the CR flux at the Earth for the lifetime of the supernova remnant, which is tens to hundreds of thousands years. Any such variation of CR flux may be “recorded,” e.g., in the Earth isotope abundance, but has nothing to do with the discussed trend over hundreds of millions of years.

Our hypothesis is falsifiable. We predict that the termination and bow shocks of our Galaxy will be distributed sources of EGCRs up to TeV energies at the Galactic north, whereas only EGCRs of 10^{15} eV will be reaching the Galaxy from its south. Such an anisotropy could potentially be observed directly (although shielding by and trapping in the Galactic magnetic fields may diminish the effect substantially). A crude estimate yields about 1% anisotropy, provided the effects of local CR sources are negligible. There are a number of effects produced by CRs, some of which are evaluated in Appendix B, whereas others are postponed until future publications. In particular, we predict that EGCRs will upscatter 2.7 K cosmic microwave background (CMB) radiation to the soft X-ray band (~ 200 eV). The upscattered flux will constitute about 10^{-7} of the observed cosmic X-ray background. Similarly, EGCRs will upscatter far- and near-infrared photons to about 10 keV and 1 MeV energies, respectively. The fraction of the upscattered photons compared to the hard X-ray and gamma-ray backgrounds is about 10^{-8} in both cases. Although the relative effects are rather weak, one can look for global north-south anisotropy of cosmic X-ray and gamma-ray backgrounds. Averaging over half of the sky will substantially lower the statistical fluctuations of the backgrounds due to the Galactic foreground emission. Taking the difference of the hemisphere-averaged signals may prove to be a useful strategy. Yet another possibility is to look for pion decay products (gamma rays and neutrinos) produced in interactions of CR protons with neutral hydrogen in the Galaxy. We predict (see Appendix B) the emission of ~ 2 GeV protons with the flux of $\sim 1\%$ of the cosmic gamma-ray background at this energy. Detection of this excess emission from the northern Galactic hemisphere seems a feasible task for *GLAST*. Similarly, we predict the excess of ~ 900 MeV neutrinos with flux $\sim 3 \times 10^{-4}$ particles $(\text{m}^2 \text{ s sr})^{-1}$, which may be a good target for IceCube.

To summarize, we have suggested that a substantial flux of cosmic rays is produced in a shock at Galactic north, a direction toward which our Galaxy has long been known to be moving in the Local Supercluster. We have shown that there is considerable shielding from the cosmic rays due to the gradient of both the regular and turbulent magnetic field in the Milky Way. When this is combined with the kinematics of the Sun in the Galaxy, based on its present motion and the Galactic gravitational field, we find a highly statistically significant agreement between period, phase, and relative magnitudes of excursions of extragalactic cosmic-ray intensity and drops in biodiversity as measured by marine genera. There are many direct and indirect mechanisms by which cosmic rays may affect biodiversity. The cosmic-ray periodicity discussed here accounts for about one-half of the biodiversity variance found by Rohde & Muller, and nearly all of their main feature, the 62 Myr oscillation. The model predicts that higher excursions of the solar system above the Galactic plane should produce more cosmic-ray flux and larger biodiversity drops; this

prediction is borne out by comparison between solar motion and paleontological data.

The authors are grateful to R. Muller for providing us with the diversity data and to D. Gies for providing the solar motion data.

The authors thank B. Lieberman, B. Anthony-Twarog, J. Ralston, A. Karam, B. Fields, J. Scalo, C. Flynn, and R. Bambach for discussions. This work has been supported by DOE grant DE-FG02-04ER54790 (to M. V. M.) and NASA astrobiology program grant NNG-04GM41G (to A. L. M. and M. V. M.).

APPENDIX A

COSMIC-RAY TRANSPORT THROUGH THE GALAXY

CRs with energies below the knee in the Galaxy propagate diffusively. The Larmor radii of the particles are smaller than the field inhomogeneities, so they nearly follow field lines. These fields are turbulent (Haverkorn et al. 2006; Beck 2001), hence the effective diffusion (Chandran & Cowley 1998; Narayan & Medvedev 2001). One often assumes the Bohm diffusion coefficient for this process. As EGCR particles diffuse through the Galaxy (in our case, in the vertical direction, from the north face to the south), their density decreases, thus resulting in shielding. As we pointed out in the text, a naive application of the diffusion approximation yields linear variation of the CR density with z of about 5%, for typical Galactic parameters (Beck 2001). High-amplitude magnetic field fluctuations in the Galaxy (Beck 2001) affect diffusion via mirroring and transient trapping effects (Narayan & Medvedev 2001). In the presence of the mean field gradient, they modify diffusion so that it becomes asymmetric (not to be confused with anisotropic diffusion, where diffusion is still symmetric, but the rates depend on position and orientation). We are not aware of discussion of this effect in the literature.

In asymmetric diffusion, the probabilities of the forward and backward transitions are not equal. To estimate the magnitude of the asymmetry, recall that the amplitude of turbulent magnetic fluctuations is maximum on large spatial scales and decreases as magnetic energy cascades to small scales. Hence, trapping by large-amplitude waves occurs on scales comparable to the field correlation length (Haverkorn et al. 2006), hence $\lambda \sim 20$ pc. (The mean free path, λ , depends on particle's energy as well.) Trapping is intermittent and transient because large-amplitude, quasi-coherent Alfvénic waveforms (“magnetic traps” or “magnetic bottles”) exist for the Alfvén time. Thus, a trapped CR particle, moving at almost the speed of light, experiences about $N_b \sim c/V_A \sim 3 \times 10^3$ bounces [for the interstellar medium field $B \sim 3 \mu\text{G}$ and density $\rho \sim 3 \times 10^{-26} \text{ g cm}^{-3}$, where $V_A = B/(4\pi\rho)^{1/2}$ is the Alfvén speed] during the bottle lifetime. Reflection conditions are determined by the particle loss cones on both ends of the magnetic bottle. There is a field gradient: B decreasing away from the Galactic plane on a distance $\sim H$. The precise value of H not known, but it does not significantly affect the results of our model. The loss-cone conditions imply that, on average, more particles are reflected from the higher field end (closer to the Galactic plane) than from the lower field one. From the loss-cone condition, we estimate the reflected fraction in one bounce as $\epsilon_0 \sim \lambda[\langle B \rangle / (\nabla \langle B \rangle)] \sim 10^{-2}$. Since particles also interact with the smaller amplitude, high-frequency background of short-scale Alfvén waves, the particle distribution function evolves toward isotropization while trapped particles traverse the magnetic bottle. We assume some 1% efficiency of this process, $\eta \sim 0.01$. This leads to a small “leakage” of particles from the trap, predominantly in the direction away from the Galactic plane. The total “leaked out” fraction per the trap lifetime is $\epsilon \sim 1 - (1 - \eta\epsilon_0)^{N_b}$. The efficiency depends on numerous factors, such as the level of Alfvénic small-scale turbulence, which induces pitch-angle scattering of CRs in and out of the loss cones, the relative phase-space volumes occupied by the loss cones and the trapped regions, and the in- and outgoing fluxes of CRs relative to the local density of particles at a given Markov site. The latter depend on the total leaked-out fraction of particles, and thus η should be determined self-consistently via numerical modeling. A complete calculation of all these processes will be presented elsewhere.

The number density of CRs in the Galaxy is found using the one-dimensional Markov chain model shown in Figure 2 and discussed in the text. Note that the forward and backward transition probabilities above and below the Galactic plane are $r_+ = g_-$, $r_- = g_+$, by symmetry. Their ratio is $g_+/r_+ \sim 1 + \epsilon$, with ϵ obtained in the previous paragraph. An analytical solution for the CR density is plotted in Figure 3. An exponential increase of the local EGCR density with z is seen. There, the result of the standard diffusion model, i.e., with $\epsilon = 0$, is also shown. Very strong exponential shielding effect is seen (the EGCR flux at low energies is normalized by the present-day value to unity).

The amplitude of the EGCR flux variation is determined by the total extragalactic flux outside the Galaxy and the structure of magnetic fields and turbulence inside the Galaxy. The flux of EGCRs outside the Milky Way can readily be evaluated, taking into account that the effective shielding scale height is about few hundred parsecs (a factor of few smaller than H) because at about 100 pc away from the Galactic plane, the Galactic wind is beginning to form (cf. the thickness of the thin Galactic disk, ~ 80 pc). This wind stretches the magnetic fields in the z -direction (wind direction), thus dramatically increasing the field correlation length and the particle mean free path, λ , and decreasing the turbulence level of small-scale Alfvénic fluctuations responsible for pitch-angle diffusion. Both of these effects reduce the exponential suppression nearly to the standard diffusion value above $z \sim 300$ pc or so. This extrapolation yields that the EGCR flux outside the Milky Way is about 100 times larger than the local value.

The CR flux above the knee (above 10^{15} eV) is thought to be primarily extragalactic in origin (these CRs are not trapped in the Galaxy because of their large Larmor radii), and it decreases with energy roughly as $\propto E^{-3.1}$. Below the knee, the CR spectrum is shallower, namely, $\propto E^{-2.7}$, whereas the CR particles are “trapped” in the Galactic magnetic fields. We make a conjecture that the “true” extragalactic flux has no break at $E \sim 3 \times 10^{15}$ eV, whereas the observed break (the knee) is due to magnetic shielding discussed above. Thus, the EGCR flux of the most dangerous particles of $E \sim 10^{12}$ eV is about 2 orders of magnitude higher than the observed flux at this energy. This value of the EGCR flux matches nicely the extrapolated value of the CR flux discussed in the previous paragraph (as well as with the overall energetics of the Galactic wind).

Here we also comment that such high EGCR flux is still too low to affect the global Galactic structure (via CR pressure). The CR pressure in the Galaxy is dominated by particles with energies below tens of MeV per nucleon (the Earth is protected from them by the solar wind) and constitutes up to 10% of the total pressure. However, we discuss here the much more energetic particles, with energies

above 10 TeV, which are not attenuated by the solar wind and the Earth magnetic fields. With the Galactic CR spectrum $\propto E^{-2.7}$ at low energies, one obtains that these dangerous TeV EGCRs contribute less than 0.1% of the total pressure. This is an upper limit on the EGCR pressure outside the Milky Way. Inside the Galaxy, the EGCR pressure is lower because of magnetic shielding. Hence, it has no influence on the dynamics of the interstellar medium and the Galactic structure while having a potentially devastating effect on life on the Earth.

The assumed EGCR flux at and above TeV is reasonable on energetic grounds. Indeed, the kinetic energy density in the outflowing Galactic wind is of the order of the energy density of Galactic CRs (Elykin & Wolfendale 2005). Some fraction, η , of the wind energy goes into acceleration of EGCRs at the Galactic termination shock. Thus, one can estimate, by analogy with the previous paragraph, that the lower limit on the conversion efficiency is about $\eta > 10^{-3}$. This is a very reasonable value, given large uncertainties in the Galactic halo structure, its magnetic fields, the diffusion coefficient and its dependence on particle energy, etc.

Our estimates of the CR flux are somewhat conservative in a number of places, so the actual flux may be a factor of few higher. We also neglected here the fact that the CR flux at the Earth depends on the injected energy spectrum at the termination shock and on the particle mean free path in the Galactic fields, which is energy-dependent. Thus, the amplitude of CR fluctuations should depend on particle energy, the properties of the Galactic magnetic fields, and the turbulence spectrum.

APPENDIX B

OBSERVATIONAL PREDICTIONS OF THE MODEL

The large anisotropy of EGCRs could potentially be detected. Since we are now well inside the Galaxy and shielding is very strong, direct detection of CR north-south anisotropy is complicated. The expected anisotropy at the present location of the Earth can be crudely estimated from the model parameter J_{CR} , the fraction of CRs entering the Galaxy from the north that leave it at the south. This yields an anisotropy of order 1%. However, this does not take into account any (local) Galactic sources of CRs that can “contaminate” the signal. Studies of CR anisotropies indicate their existence at the 0.1%–1% level. However, they are mostly attributed to the local magnetic field structure—spiral arms. This is reasonable because charged particles propagate nearly freely along field lines (mostly parallel to the Galactic plane) and diffuse across them in the vertical direction. Recent Milagro, Super-Kamiokande, and Tibet Air Shower Array results indicate the presence of the anisotropy at the level of 0.1% in the Galactic center direction and along the Galactic north-south direction (Atkins et al. 2005; Oyama 2006; Amenomori et al. 2006). However, the absence of the Compton-Getting effect may imply that the Galaxy-wide anisotropy could be smeared out by a propagation effect through the comoving local interstellar medium.

One can think of some indirect methods. For instance, TeV EGCRs can Compton upscatter CMB photons to energies of $\sim \gamma^2(2.7 \text{ K}) \sim 200 \text{ eV}$. The upscattered flux of photons is of order $F_{\text{scatt}} \sim F_{\text{CMB}}\tau$, where the optical depth to scattering is $\tau \sim R\sigma_{p\gamma}(4\pi F_{\text{CR}}/c)$, where in turn F_{CR} is the EGCR flux outside the Galaxy, R is the typical size of the system being of the order of the distance to the shock, and $\sigma_{p\gamma} \sim 0.1 \text{ mbarn}$ is the typical p - γ cross section. With the conjectured CR flux $F_{\text{CR}} \sim 10 \text{ (m}^2 \text{ s sr)}^{-1}$ at 1 TeV and $R \sim 30 \text{ kpc}$, we obtain an upscattered flux of order $\sim 2 \times 10^{-2} \text{ (m}^2 \text{ s sr)}^{-1}$. The Galactic north-south anisotropy of these soft X-ray photons could be a clear signature of our model. However, detection of such anisotropy can be difficult because of large contamination by gas line emission at these energies and strong hydrogen absorption of these soft X-rays. Indeed, the cosmic soft X-ray background (CXB) is about $\sim 10^5 \text{ (m}^2 \text{ s sr)}^{-1}$ at 0.5–1 keV (Hickox & Markevich 2006). This yields that the upscattered photon flux is about 10^{-7} of the CXB, which makes it hard to observe.

TeV cosmic rays can also upscatter infrared (IR) photons. Cosmic IR background has two peaks, in the far- and near-infrared (FIR and NIR), at approximately $\sim 10^{-2}$ and 1 eV, respectively. Upscattering of FIR and NIR photons brings them to about 10 keV and 1 MeV, respectively. The FIR and NIR fluxes at the peaks are approximately 2×10^{-8} and $3 \times 10^{-8} \text{ W m}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The upscattered fluxes are calculated to be of order 4×10^{-5} and $4 \times 10^{-7} \text{ photons m}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The ratio of the upscattered fluxes to the hard X-ray (at 10 keV) and gamma-ray (at 1 MeV) background fluxes (Hickox & Markevich 2006; Miniati et al. 2007) is about 10^{-8} in both cases.

Yet another possibility is to look for gamma rays at GeV energies due to interaction of TeV EGCRs with the interstellar gas in molecular clouds and production of pions, which then produce gamma rays via decay (neutral pions are of great interest because their motion is not affected by the Galactic magnetic fields). The p - p cross section for neutral (and charged) pions is roughly $\sigma_{pp} \sim 30 \text{ mbarn}$ (Dermer 1986) for proton center-of-mass energies of $\sim 30 \text{ GeV}$, which corresponds to interaction of TeV CRs with neutral hydrogen in the Galaxy. For the estimate, we assume the neutral hydrogen column density $N_{\text{H}} \sim 10^{21} \text{ cm}^{-2}$ (this is somewhat higher than the average column density toward the Galactic poles). The collisional depth is $\tau \sim N_{\text{H}}\sigma_{pp} \sim 3 \times 10^{-5}$. This yields a gamma-ray flux of about $F_{\gamma} \sim 3 \times 10^{-4} \text{ (m}^2 \text{ s sr)}^{-1}$. Neutral pions decay $\pi^0 \rightarrow 2\gamma$ to produce $\sim 70 \text{ MeV}$ photons (in the center-of-mass frame). Thus, we predict emission of gamma rays of energy of $\sim 2 \text{ GeV}$ from pion decay. The ratio of the predicted gamma-ray flux to the cosmic gamma-ray background (Miniati et al. 2007) is of order 1%, which seems feasible to detect. Such an observation would be an interesting task for *GLAST*. This effect will be addressed in a subsequent publication.

Similarly, interaction of TeV CRs with neutral hydrogen produces charged pions, which decay into muon neutrinos of energy $\sim 900 \text{ MeV}$. Similar estimates yield neutrino flux of about $F_{\nu} \sim 3 \times 10^{-4} \text{ particles (m}^2 \text{ s sr)}^{-1}$ at 0.9 GeV. These neutrinos can be observed with IceCube.

APPENDIX C

MODEL OF THE SOLAR MOTION THROUGH THE MILKY WAY

The solar motion through the Milky Way has been computed for the past 600 Myr and kindly provided to us by D. Gies (Gies & Helsel 2005). A number of axisymmetric Galaxy models have been presented and analyzed by Dehnen & Binney (1998a). Gies’s

computation uses the best model of the global density distribution in the Galaxy, according to the analysis of that paper (Dehnen & Binney 1998a). The density normalization Dehnen & Binney used is about $0.17 M_{\odot} \text{pc}^{-3}$, which is somewhat higher than the local density of $0.1 \pm 0.01 M_{\odot} \text{pc}^{-3}$ found by these authors (Dehnen & Binney 1998b) and other groups (Holmberg & Flynn 2000, 2004; Bienaymé et al. 2006) from the *Hipparcos* parallax data. The latter, low value of the Galactic density results in a longer period of vertical oscillations at the present position of the Sun, as long as 82 ± 2 Myr, which is substantially larger than the average period of 64 Myr. It should be noted, however, that *Hipparcos* has determined parallaxes and distances to stars within 200 pc (in the Galactic plane) around the Sun. Even with the very low Sun velocity with respect to the local rest frame, $v \sim 13 \text{ km s}^{-1}$ (cf. nearby stars with typical velocities of about $\sim 40 \text{ km s}^{-1}$), the Sun will traverse the *Hipparcos*-probed region within 15 Myr, much shorter than the 64 Myr average period. The low local density is consistent with the fact that the Sun is in the interarm region at present. The strength of the spiral arms is still debated (Amaral & Lepine 1997); however, recent Doppler measurement of a maser in the Perseus arm (Binney 2006) indicates very strong contrast of the arm-interarm density. With a reasonable 50% duty cycle (the Sun arm crossing time vs. interarm residence time), the average oscillation period is in agreement with 64 Myr.

Dehnen & Binney (1998a) used *Hipparcos* data in their models. However, instead of using the local Galactic density as a normalization, they considered it as a free (fit) parameter, which they found by fitting observables, e.g., the star terminal velocities (Feast & Whitelock 1997). These are, in turn, determined from proper motions found by *Hipparcos*. Since such measurements do not depend on parallax distances, one probes distances as large as 3 kpc. Thus, such a technique is much more accurate for constraining a *global* Galactic model. Of course, the axisymmetric model misses the local density inhomogeneities (e.g., due to spiral arms), which should result in some scatter of the Sun oscillation period. In fact, the diversity period does show a larger scatter than the computed vertical oscillation (and the related CR flux).

APPENDIX D

STATISTICAL ANALYSIS

The correlation between two data sets is evaluated with the Pearson moment correlation coefficient, r , a dimensionless index that ranges from -1.0 to 1.0 inclusive and reflects the extent of a linear relationship between the two sets. For two sets of N values each, X and Y , the r -value is calculated as

$$r = \frac{N(\sum XY) - (\sum X)(\sum Y)}{\sqrt{[N(\sum X^2) - (\sum X)^2][N(\sum Y^2) - (\sum Y)^2]}}. \quad (\text{D1})$$

The statistical significance of the correlation is evaluated from the Student t -distribution. The t -distribution is used in the hypothesis testing of sample data sets and gives the probability (p -value) of the chance coincidence. In the limit of large number of degrees of freedom (data points), it approaches the Gaussian distribution. For nonzero r ,

$$t = \sqrt{r^2(N - 2)/(1 - r^2)} \quad (\text{D2})$$

obeys the Student's t -statistics with $N - 2$ degrees of freedom.

APPENDIX E

CORRELATION OF CR FLUX AND DIVERSITY DATA

All the diversity data used in our analysis are taken from supplementary information files of RM05. The cross-correlation of the predicted CR flux and the detrended diversity data is discussed in the text. One can worry that the detrending strongly affects the data and can introduce biases. In their original paper, RM05 demonstrated that the 62 Myr periodic signal is seen even in the raw data. In order to emphasize the effect, they separated all genera into two categories: short-lived (with the first and last occurrence dates being separated by 45 Myr or less) and long-lived. Only short-lived genera show the periodic variation, although with less statistical significance than the detrended data. The raw short-lived genera data overlaid with the CR flux are shown in Figure 6. The cross-correlation

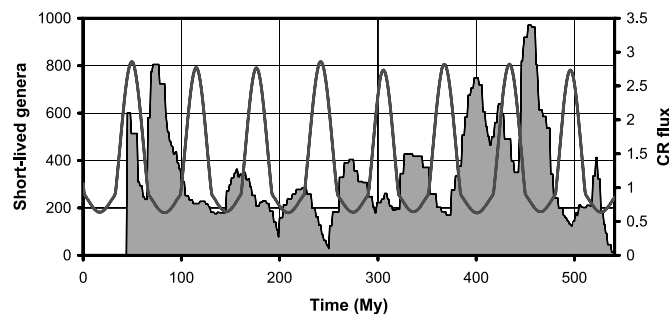


FIG. 6.—Short-lived genera variation and extragalactic cosmic-ray flux at the Earth calculated from our model, showing the short-lived genera (*histogram, left scale*) as a function of time overplotted with the normalized cosmic-ray flux calculated from our model (*gray curve, right scale*). Note that most of the minima in genera number nearly coincide with cosmic-ray maxima, as in Fig. 4. [See the electronic edition of the *Journal* for a color version of this figure.]

TABLE 1
CORRELATION DATA OF THE COSMIC-RAY FLUX AND VARIOUS DIVERSITY DATA SETS

| Data Set | Cross-Correlation (<i>r</i> -Value) | Statistical Significance (%) | <i>p</i> -Value |
|--------------------------------|--------------------------------------|------------------------------|-----------------------|
| RM05 Data | | | |
| Detrended data | -0.49 | ~100 | 1.9×10^{-7} |
| Short-lived genera..... | -0.32 | ~99.9 | 1.1×10^{-3} |
| Long-lived genera..... | 0.11 | ~70 | 0.29 |
| Detrended Data | | | |
| Low-pass filter | -0.57 | ~100 | 6.4×10^{-10} |
| Narrow window | -0.72 | ~100 | 2.0×10^{-17} |
| Weighted window | -0.74 | ... | ... |
| RM05 Supplementary Information | | | |
| Origination intensity | -0.0039 | 3 | 0.97 |
| Extinction intensity | -0.067 | 44 | 0.56 |

NOTES.—The first group of data sets are data from RM05. The second group represents detrended data filtered with the low-pass filter (to isolate long-term variations), the narrow window, and the $z(t)$ -weighted window function (to isolate harmonics close to the 62 Myr cycle). In the latter case, the statistical significance and the *p*-value are not evaluated because the data sets (filtered and CR) are not independent. The third group includes the origination and extinction data from the supplementary information of RM05.

coefficients and the statistical significances for both data sets are given in Table 1. Clearly, both data sets show correlation at very high statistical significance.

Spectral analysis by Fourier transform builds on the result that almost any mathematical function can be decomposed into a sum of sinusoids. The RM05 cyclicity result does not imply that the diversity record is sinusoidal, but that it does contain one or more components around 62 Myr in period which are anomalously large. Our model would explain the basis of this large component; our cross-correlation result implies that the model can explain about half the overall variance in the fossil record.

Our model would predict the long-term variation of diversity, with a period of about 64 Myr. The data, however, contain all time-scales. The Fourier harmonic spectrum shown in RM05 contains, in addition to the two cycles, a long tail of short-frequency harmonics. This short-frequency component is largely dominated by data binning, for which sizes vary from 1 to 9 Myr and contaminate the data set. Therefore, we performed a separate statistical analysis of the data, in which short time variations are filtered out. We applied three different filtering techniques to the detrended data from RM05. A low-pass filter performs a forward Fourier transform to calculate the spectrum, sets all Fourier harmonics with frequencies greater than $1/(35 \text{ Myr})$ to zero, and then performs the inverse Fourier transform to restore the signal. A narrow window filter uses the same technique, but now keeps harmonics only within a narrow window around the 62 Myr peak. The weighted window filter is analogous to the narrow window one, but now the diversity spectrum is weighted with (multiplied by) the normalized spectrum of the solar motion, $z(t)$, which does show a prominent harmonic peak around 63 Myr. The correlation coefficients and the statistical significance levels are given in Table 1. Overall, data filtering increases the correlation substantially; the statistical significance rises to nearly 100%. All these results confirm that our CR model describes the long-term variation of diversity very well. Note that for the weighted window filter technique, the filtered data contain

TABLE 2
DIVERSITY DROPS AND CR MAXIMA

| CYCLE No. (1) | CR T_{max} (Myr) (2) | CR-FLUX VARIATION (3) | DIVERSITY | | EXTINCTION | |
|------------------|-------------------------------------|--------------------------|----------------------------------|----------------------------------|------------------|--------------------|
| | | | T_{min} (Myr) (4) | T_{max} (Myr) (5) | Detrended (6) | Short-Lived (7) |
| 1..... | 50 | 2.23 | 59 | 74 | 704 | 512 |
| 2..... | 115 | 2.13 | 115 | 121 | 50 | 10 |
| 3..... | 176 | 2.14 | 177 | 184 | 37 | 22 |
| 4..... | 242 | 2.26 | 250 | 273 | 700 | 376 |
| 5..... | 306 | 2.09 | 298 | 308 | 61 | 82 |
| 6..... | 368 | 2.19 | 372 | 400 | 572 | 579 |
| 7..... | 434 | 2.18 | 441 | 454 | 616 | 621 |
| 8..... | 496 | 2.10 | 497 | 501 | 94 | 55 |

NOTES.—Col. (1): Cycle number. Col. (2): Time at which CR flux is at maximum. Col. (3): Maximum value of the CR flux. Col. (4): Time of the local diversity minimum closest to CR T_{max} . Col. (5): Time of the closest preceding local maximum of diversity. Col. (6): Extinction strength calculated from the detrended data. Col. (7): Extinction strength calculated from the short-lived genera data.

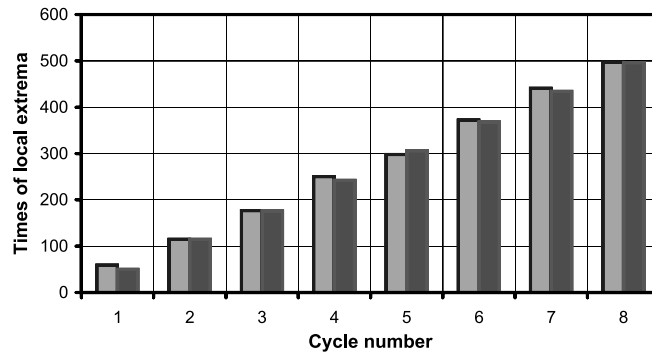


FIG. 7.—Diversity minima coincident with CR maxima. The bar chart shows the times of CR maxima, col. (2) in Table 2 (dark gray bars), and the times of the nearest local minima of diversity, col. (4) in Table 2 (light gray bars), vs. the cycle number. The times of diversity minima are uncertain to few Myr due to the large sizes of the data bins (typically about 5 Myr). [See the electronic edition of the Journal for a color version of this figure.]

certain information on $z(t)$ and hence on the CR flux. These two data sets—the CR flux and the filtered data—are not statistically independent; therefore, we do not evaluate the statistical significance of the correlation.

It is also interesting to cross-correlate our CR model with the origination and extinction data sets separately. RM05’s Fourier analysis of these sets shows that neither yields as strong a 62 Myr signal as the combined diversity data. They argued, therefore, that the cycle is likely due to a combination of effects, rather than just the extinction or just the diversification alone. Our study confirms this conclusion. The correlations of CR flux with the origination intensity and with the extinction intensity from RM05 data are very weak and statistically insignificant (p -value is greater than 0.2 in both cases). These results are also summarized in Table 1. One must note, however, that if either had a phase offset with respect to biodiversity, cross-correlation would be weak. B. A. Lieberman & M. L. Melott (2007, in preparation) have investigated this further.

APPENDIX F

CORRELATION OF DIVERSITY DROPS AND CR MAXIMA

Our model predicts that a higher CR flux should result in a larger diversity drop. To check whether this prediction is confirmed by the available data, we used the following algorithm. First, one finds all local maxima of the CR flux through the entire domain of 542 Myr. The times at which the CR flux is at maximum in each cycle and the corresponding value of the flux amplitude of variation, calculated as the difference of the values at maximum and the preceding minimum, are given in columns (2) and (3) in Table 2. Application of the same algorithm to the detrended data yields inaccurate results, because subtraction of the cubic fit introduces a large number of spurious local minima (the whole curve becomes saw-toothed, as is seen from the figures in RM05 and in our Fig. 4). A much more accurate way to find local extrema is to use the short-lived data instead. Since the cubic fit describes the global trend on the timescale of 500 Myr, its subtraction hardly affects the local structure and we can use these T_{\min} and T_{\max} for further analysis of both detrended and short-lived genera sets. Thus, in the next step, for each CR T_{\max} one finds the nearest local minimum and the nearest preceding local maximum in the diversity curve. These values are given in columns (4) and (5) in Table 2. For all extrema, except just one, the data have resolution coarser than 1 Myr (often 3–5 Myr). For such large data bins, one takes the median value of T for the bin. It is interesting that *each* CR peak has a so-defined diversity drop within the cycle (not a single cycle is missed). Moreover, the CR maxima and diversity minima nearly coincide, within few Myr. The CR maxima times and the diversity minima times are shown in Figure 7 versus the cycle number. The correspondence of the minima/maxima is remarkable.

The drop in diversity, which we refer to as “extinction strength,” is defined as the difference in genera diversity at the maximum and the minimum, i.e., at times T_{\max} and T_{\min} , given in columns (4) and (5) of Table 2. The extinction strengths are calculated for both data sets, i.e., for the detrended genera and the short-lived genera. They are given in columns (6) and (7) of Table 2 and also plotted in Figures 5 and 8. The correlation in both cases is very strong. Although the short-lived genera set is not the “main” sample—neither in

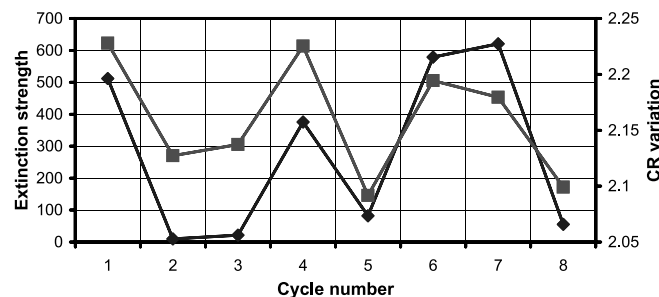


FIG. 8.—Extinction strength of short-lived genera and extragalactic cosmic-ray peak strength vs. the cycle number. As in Fig. 4, the extinction strength (black curve, left scale), calculated as the diversity drop from each cycle from preceding peak to minimum, and the relative EGCR intensity at maximum from our model (gray curve, right scale) are plotted vs. the cycle number (numbering is backward from the present). [See the electronic edition of the Journal for a color version of this figure.]

RM05, nor in the present study—it is remarkable that both samples show such strong correlations. Thus, it justifies that the found correlations are real. The correlation analysis shows, in particular, that CR maxima are correlated with diversity drops with $r = 0.80$ and $p = 0.017$ (i.e., 80% correlation at 98.3% confidence level) for the short-lived genera set, and with $r = 0.93$ and $p = 0.0007$ (i.e., 93% correlation at 99.93% level, meaning that there is less than 0.07% probability that the data points happened to become “aligned” this way by chance) for the detrended data.

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